Neuro-Optometric Exam and Concussion



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Background

- BS Biochemistry Bucknell University
- OD –UC Berkeley School of Optometry
- Residency Binocular Vision, Neuro-Optometry, Vision
 Therapy UC Berkeley School of Optometry
- Director, Clinic Chief

 UC Berkeley Sports Vision Clinic
 - Clinical Care and Research for Vision Problems in Concussion
- Clinical Instructor UC Berkeley School of Optometry
- Clinical Research Funding UC Regents, NIH SBIR
 - Financial Disclosures C. Light Technologies
 - Clinical Research Consultant
- Neuro-Optometrist Kaiser Permanente San Rafael

Goals/General Outline

- Discuss current paradigms (or national lack there of) for vision screening after concussion
- Go through the most common visual signs and symptoms seen after a concussion and their timelines for resolution (acute vs. chronic – PCS)
 - Afferent Visual Pathway (Ocular Health, Color Vision, Visual Fields, Pupils, Refractive Error)
 - Efferent Visual Pathway (Ocular motility)
 - Higher Order Pathways (Visual processing)
- Current/Future role for vision

Concussion - Controversy over a "Definition"



- McCrory P, Meeuwisse WH, Aubry M et al. Consensus statement on concussion in sport: the 4th International Conference on Concussion in Sport held in Zurich, November 2012. Br J Sports Med 2013;47:250-8.
- Harmon KG, Drezner JA, Gammons M, et al. American Medical Society for Sports Medicine position statement: concussion in sport. Br J Sport Med 2013;47:15-26.
- Kimpara H, Iwamoto M. Mild traumatic brain injury predictors based on angular accelerations during impacts. Ann Biomed Eng 2012;40:114-26.
- Zhang L, Yang K, King A. A proposed injury threshold for mild traumatic brain injury. J Biomech Eng 2004;126:226-36.
- P Reilly, R Bullock. Head Injury 2ed: Pathophysiology and Management, CRC Press 2005 Taylor and Francis, Florida

Image from Martin LJ. A visual guide to concussions and brain injuries. Webmd.com Accessed April 15, 2017. http://www.webmd.com/brain/ss/slideshow-concussions-brain-injuries

Common Diagnostic Tests for Concussion



- Self-report Symptom Checklists
- Brief cognitive assessment
 - SAC
 - SCAT-3
- **Balance Tests**
 - TGT
 - BFSS
- **Computer Based** Neuropsychological Tests
 - ImPACT
 - CogSport
 - Headminder



neuropsychological tests designed for use by physicians to detect mild cognitive changes in concussed athletes.

CogSport provides an objective indication of brain function after concussion, and helps guide medical decisions about: . return to play/work/training

. monitoring rehabilitation



CorSport tests us a familiar playing cards, and only require the athlete to areas one of two keys. Different cents are presented each time, glying unfinited equivalent forms.

How is CogSport Different? Simple to administen CogSport can be administered by anyone with no pre-training required; takes only 15 minutes and can be given to as many athletes at one time as there are computers. The software is available in both PC and

> Simplified report interpretation: All CogSport reports, baseline and after injury, include the check-mark and crosssystem for guick report interpretation; combined with detailed data analysis for medical decision making. Report interpretation in most cases does not require neuropsychological expertise.

Optimized for concussion: CogSport is optimized for sensitivity to concussion and measures motor function, reaction time, attention and memory via a series of highly sensitive, reliable and fun playing card games. CogSport also includes a health history questionnaire and symptom

How does it work? CogSport software is available via downloaded from www.eagsport.com. Athletes take a 'baseline' test before the season begins, and then again after an injury. The results are compared and provide an objective analysis of whether the athlete has returned to his/her 'normal' cognitive state. Data files are transferred electronically, and clinical reports are returned via email within minutes.



Date of Assessment

What is the SCAT3?

The SAPT is a banded chard fool for evaluating inquired at hietes for concusion and cale he used in withinke aged from 13 years and older. It supervises the original SASE and reth SASE published in 2005 and SASE, inspectively. For younger persons, ages 12 and under, please use the Child SAAPT. The SCAPT is designed for use by medical pic feedbanks if you are not quantified please use the gipton Conscious for Registerior DAPP research shadeline training with the SCAT's can be helpful for interpreting post-ripay test scares

Specific instructions for use of the SCATE are provided on page 8. If you Specific Statutions for use of the Sci-D1 are provided in page. If it is use in the final and the Sci-D1 are provided in page. If it is use in the final and the Sci-D1 place are different their interfection and page. The sci-D1 place is not provided in successful final and in adjustment power and option and page. The sci-D1 place is page. The sci-D1 place is a sci-D1 place in a sci-D1 place is a sci-D1 place in a sci-D1 pl

What is a concussion?

A concusion is a distantance in train function cased by a direct or indirect force to the head it needs in a variety of non-used fix signs and not years for come commiss. But of beined and most of the down but it receive loss of consciousness concurrently of the properties of any one or more of the following.

Samptons (e.g. headacha), or Physical signs (e.g., unsteadment), or impulsed brain function (e.g. confusion) or Abnormal behaviour (e.g., change in person

SIDELINE ASSESSMENT

Indications for Emergency Management NOTE: A let to the head can constitute be accordated with a more serious brain injury. Are of the following inscreams consideration of activating emergency procedures and urgent transportation to the nearest hospital.

Clasgon Come score less than 15 Deteriorating mental status Potential spinel injury Progressive, monening symptoms or new neurologic signs

Potential signs of concussion?

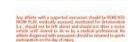
If any of the following signs are observed after a circut or indirect bline to the head, the athlete should stop participation, be evaluated by a medical professional and should not be permitted to retern to sport the same day if

Ane loss of consciousness?	IIV.	III N
"If so, how long?"		
Ballance or motor incoordination issuiting their bloom seminers, et. (7)	Y	- N
Disorientation or confusion solds to record appropriate to service ?	V.	- N
Loss of memors	SY	- N
"If so, how long?"		
"before or after the injury!"		
Slank or second leek	NY.	N N
Visible facial injury in combination with any of the above:	1.7	N



Slasgow Come score (E + Y + M)





Limitations/Difficulties in Concussion Diagnosis and Management

- No structural injury on conventional neuroimaging
- Advanced neuroimaging can show functional/structural damage BUT \$\$ and not readily available
- Under/over reporting of symptoms
- Under/over diagnosis
- Evolving definition

- Subjective nature of diagnosis
 - Symptom Scales
 - Intentional low baseline scores
- Incomplete understanding of pathophysiology
- Lack of data
- Variability among physicians

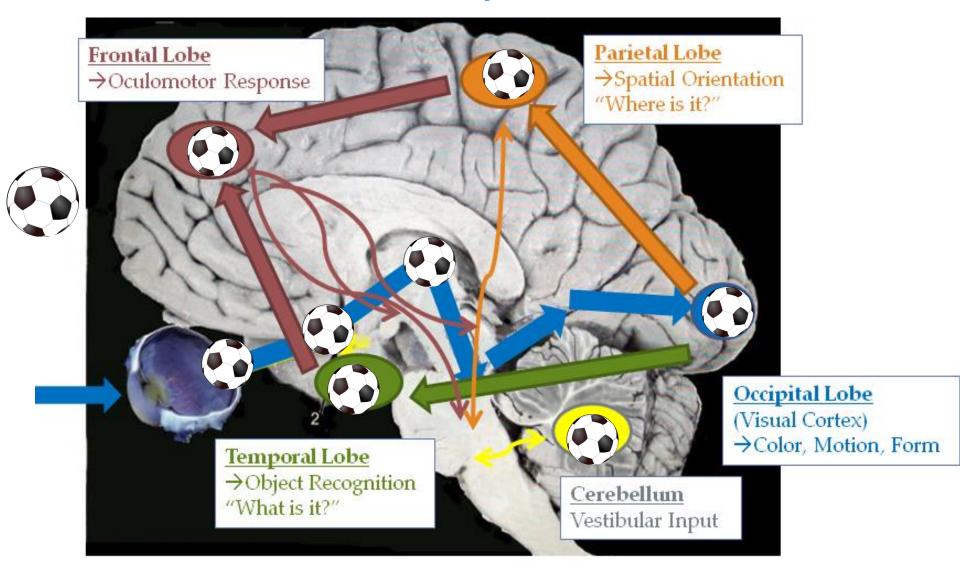
- McCrory P, Meeuwisse WH, Aubry M et al. Consensus statement on concussion in sport: the 4th International Conference on Concussion in Sport held in Zurich, November 2012. Br J Sports Med 2013;47:250-8.
- Harmon KG, Drezner JA, Gammons M, et al. American Medical Society for Sports Medicine position statement: concussion in sport. Br J Sport Med 2013;47:15-26.
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- Zhang L, Yang K, King A. A proposed injury threshold for mild traumatic brain injury. J Biomech Eng 2004;126:226-36.
- P Reilly, R Bullock. Head Injury 2ed: Pathophysiology and Management, CRC Press 2005 Taylor and Francis, Florida

Role of Eyes in Concussion Diagnosis and Management:

1) Objective biomarker for brain dysfunction

2) Treatment of concussion

Visual Pathways in the Brain



Vision can be impacted in many different ways after injury!

Physical symptoms of a concussion:

Mental symptoms of a concussion:

Sleep symptoms of a concussion:

Emotional symptoms of a concussion:

- Dizziness
- Problems with balance
- Nausea and/or vomiting
- Balance problems
- Sensitivity to noise
- Sensitivity to light
- Blurred vision
- Headache
- Low energy level
 - Unequal pupils
- Seeing flashing lights

- Difficulty remembering
- Confusion
- Inability to concentrate
- Inability to think clearly
- Mental fogginess
- Inability to remember new information
- Trouble paying attention
- Loss of focus

- Sleeping more than usual
- Unable to fall asleep
- Sleeping less than usual
- Easily angered or upset
- Feeling nervous or anxious
- Feelings of sadness
- Crying more than usual
- Lack of interest in usual activities
- Depression

Post-Concussive Visual Signs and Symptoms

- Currently Screened
 - Double Vision
 - Blurred Vision
 - Light Sensitivity

- In Addition/Actuality
 - Reading Difficulties
 - Eyestrain/Fatigue
 - Eye focusing problems
 - Eye Tracking Problems
 - Vision-Derived Nausea
 - Visual Inattention
 - Visual Anxiety/Crowding

Laukkanen H, Scheiman M, Hayes JR. Brain injury vision symptom survey (BIVSS) questionnaire. *Optom Vis Sci.* 2016;94(1):43-50.

Afferent Visual Pathway

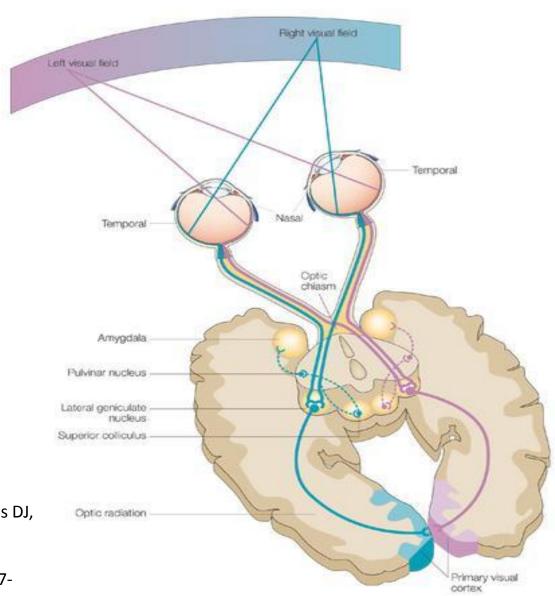
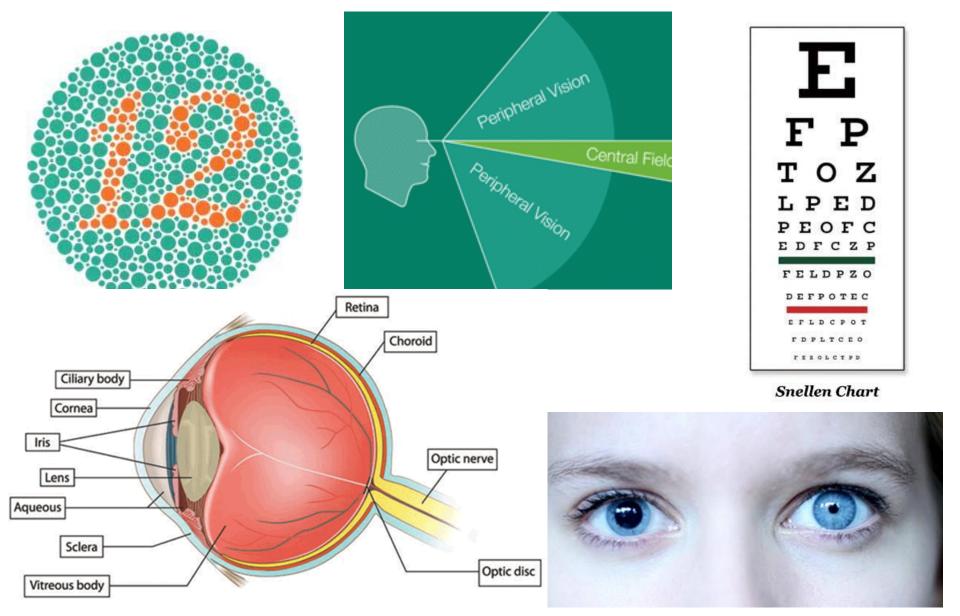


Image from - Hannula De, Simons DJ, Cohen NJ. Imaging implicit perception: promise and pitfalls. *Nature Rev Neurosci.* 2005;6:247-255/

Afferent Visual Pathway – CN II



Post-Concussion Visual Signs

Ocular Health

- Traumatic Iritis
- Traumatic Optic Neuropathy
- Retinal changes
- Commotio Retinae
- Retinal Tear/Detachment

Pupil Findings

- Afferent
 - Increased average constriction latency
- Efferent
 - Parasympathetic
 - Slower average constriction velocity
 - Sympathetic
 - Decreased pupillary diameter
 - Slower peak dilation velocity
- Anisocoria?

Photophobia - Light Sensitivity

Etiology?? – Photophobia pathway?

- Ocular Photophobia
 - Iritis
 - Flash light test
 - Asymmetric
 - Pupil problems
 - Dry Eye
- Neurological Photophobia
 - TBI
 - Migraines
- Pharmacologic

Management

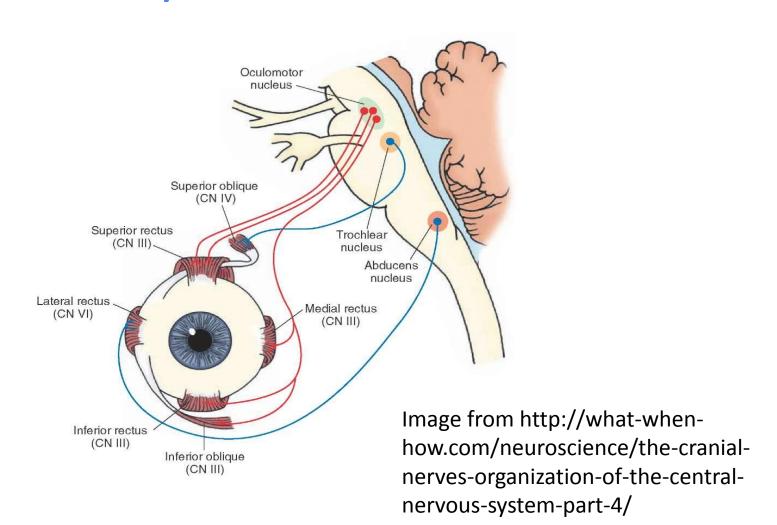
- Sunglasses outside OKAY
- Sunglasses inside TRY TO AVOID
 - Wearing dark glasses indoors → dark adaptation of the retina → aggravation of light sensitivity
- Visors/Hats
- Mild Tints
 - Wavelength matters

Katz BJ, Digre KB. Diagnosis, pathophysiology, and treatment of photophobia. *Surv Ophth*. 2016;61:466-477

Visual Signs it may be MORE than a Concussion

- Pupils
 - Dilated/Fixed
 - APD
 - Anisocoria
- Reduced BCVA
- Visual Field Defects
- Cranial Nerve Palsy
- Ocular Health Problem
 - Optic nerve edema, pallor, etc

Efferent Visual Pathway CN III, IV, VI, Parasympathetic, Sympathetic -Eye Movements



Vestibulo-ocular dysfunction in pediatric sport-related concussion (SRC)

- Retrospective review of all patients with acute SRC (presenting 30 days or less post injury) and PCS (3 or more symptoms for at least 1 month) referred to a multidisciplinary pediatric concussion program from 9/2013-7/2014
- Methods Clinical Hx, Physical, PCSS, VOD
 - VOD Complaint (dizziness, blurred vision, etc) + Sign (Abnormal pursuits, saccades, VOR)
- Results n=101, age 14.2 +/-2.3 years, 76.2% with acute SRC (n=77) and 23.8% with PCS (n=24)
 - Mean duration of Sx was 40 days for pts w/ acute SRC and VOD vs. 21 days for acute SRC without VOD.
- Conclusions: Evidence of VOD in acute SRC and PCS. VOD was a significant risk factor for development of PCS

What Determines Concussion Resolution?

- Balance Recovery <7 days
- Symptom Scores 5-14 days
- Cognitive Recovery 7-21 days
- Oculomotor Recovery 21-28 days

Collins M, Kontos A, Okonkwo D. et al. Statements of Agreement from the Targeted Evaluation and Active Management (TEAM) Approaches to Treating Concussion Meeting Held in Pittsburgh, October 15-16, 2015. *Neurosurgery*. Dec 2016;79(6):912-929.

Other Factors

Litigation
Worker's Compensation
Individual Motivation
(Athlete, Military)

Age
Gender
Concussion History
Premorbid Factors
Injury Severity
Type/Timing of Treatment

Role of Eyes in Concussion Diagnosis: VOMS (Vestibular/Ocular Motor Screening) Assessment

Vestibular/Ocular Domains Assessed

- 1. Smooth Pursuit
- 2. Horizontal and Vertical Saccades
- 3. Convergence
- 4. Horizontal and Vertical VOR
- 5. Visual Motion Sensitivity
- 61% of adolescent concussed athletes reported symptom provocation after at least 1 VOMS item
- All VOMS items were positively correlated to the PCSS (Post Concussion Symptom Scale) total symptom score
- VOMS was nearly 90% accurate in identifying patients with concussion from controls

Mucha A, Collins MW, Elbin RJ, et al. A brief vestibular/ocular motor screening (VOMS) assessment to evaluate concussions: preliminary findings. Am J Sports Med 2014;42:2479-86.

VOMS Continued...

- Women have higher VOMS scores then males (Sufrinko 2017)
- Symptom provocation/clinical abnormality associated with all domains (except convergence and accommodation) can delay recovery time after SRC in youth and adolescents (Anzalone 2017)
- VOMS does NOT provoke vestibular symptoms in healthy adolescents (Yorke 2017)
- VOMS measures unique aspects of vestibular-ocular function other than those measured in the BESS (Balance Error Scoring System) or KD (King-Devick Test) with good reliability (Yorke 2017)
- In collegiate athletes, VOMS had a high internal consistency with an 11% false-positive rate at baseline mostly female or history of motion sickness (Kontos 2016).

Anzalone AJ, Blueitt D, Case T, McGuffin T, Pollard K, Garrison JC, Jones MT, Pavur R, Turner S, Oliver JM. A positive Vestibular/Ocular Motor Screening (VOMS) is associated with increased recoverty time after sports-related concussion in youth and adolescent athletes. *AJSM* 2017;45(2)474-479

Kontos AP, Sufrinjo A, Elbin RJ, Puskar A, Collins MW. Reliability and associated risk factors for performance on the Vestibular/Ocular Motor Screening (VOMS) tool in healthy collegiate athletes. *AJSM* 2016;44(6):1400-1406 Sufrinko AM, Mucha A, Covassin T, Marchetti G, Elbin RJ, Collins MW, Kontos AP. Sex differences in vestibular/ocular and neurocognitive outcomes after sport-related concussion. *Clin J Sport Med* 2017;27:133-138.

Yorke AM, Smith L, Babcock M, Alsalaheen B. Validity and reliability of the Vestibular/Ocular Motor Screening and associations with common concussion screening tools. *Sports Health*. 2017;9(2): 174-180.

Table 1. Vestibular/Ocular Motor Screening (VOMS) for concussion²⁷

VOMS Test	Headache ^a	Dizziness ^a	Nausea	Fogginess ^a	Total Symptom Score ^b
Baseline symptoms					
Smooth pursuit					
Horizontal saccades					
Vertical saccades					
Near point convergence Measure 1: Measure 2: Measure 3:					
Horizontal VOR					
Vertical VOR					
Visual motion sensitivity					

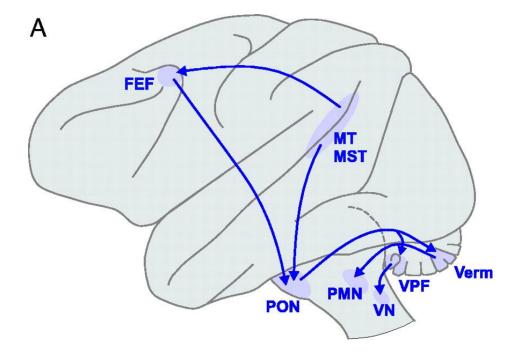
VOR, vestibulo-ocular reflex.

Yorke AM, Smith L, Babcock M, Alsalaheen B. Validity and reliability of the Vestibular/Ocular Motor Screening and associations with common concussion screening tools. *Sports Health*. 2017;9(2): 174-180.

^{*}Provocation of symptoms is rated on a scale from 0 to 10, with 0 being no symptoms and 10 being severe symptoms.

^bTotal symptom score = change in headache from baseline + change in dizziness from baseline + change in nausea from baseline + change in fogginess from baseline for each of the VOMS test items.

Pursuits



Saccades

Image from: Krauzlis RJ. Recasting the Smooth Pursuit Eye Movement System, *J Neurophysiology*.

2004;91(2):591-603

Cal has really great athletes. They are

smart and enjoy going to class and to

practice. When they are not on the

field they are in the library.

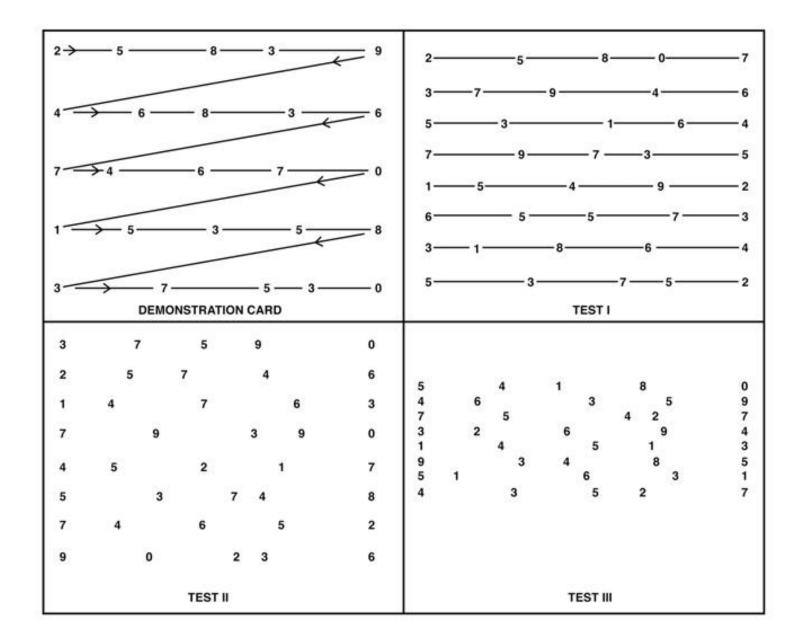
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King-Devick



fMRI of Acute Oculomotor Deficits in Concussed Athletes

- n=9, 7 days post-concussion
- n=9, age, sex match normal volunteers
- Fixation, Reflexive saccades, antisaccades, memory guided saccades, self-paced saccades
- fMRI widespread increased activation of multiple brain areas following concussion in response to oculomotor tasks
 - Longer latency time, worse position errors, fewer number of self-paced saccades

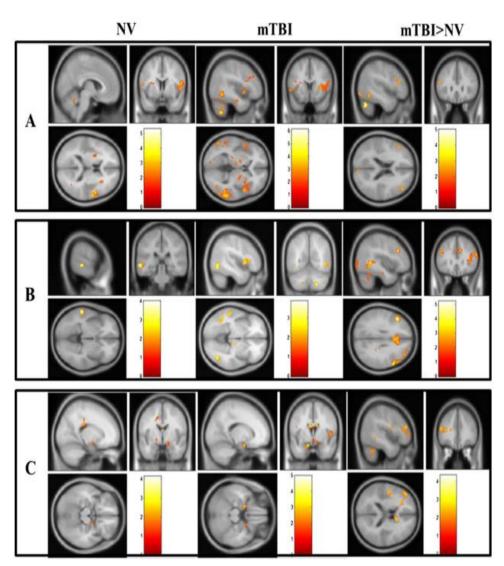


Fig. 2 Significant (p-value<0.05 FDR) fMRI activation patterns in sagittal, coronal, and axial planes for normal volunteers (NV), concussed (mTBI), and increased activation in concussed compared to normal

volunteers (mTBI>NV) in (a) Anti-saccades, (b) Self-paced Saccades, (c) Memory Guided Saccade tasks. Color bars represtentative of t-values

Johnson B, Zhang K, Hallett M, Slobounov S. Functional neuroimaging of acute oculomotor deficits in concussed athletes. Brain Imaging Behav 2015;9:564-73

Accommodation

- When the eye changes refractive power by altering the shape of the lens to focus on objects at different distances
- ie How the eye focuses on things



AccommodativePathway

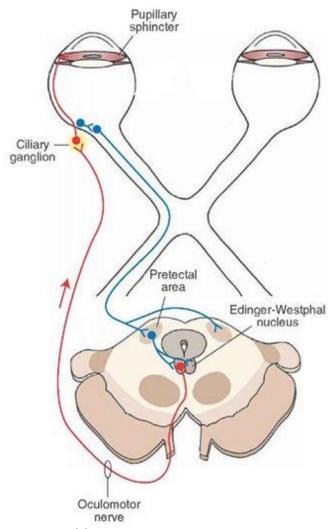
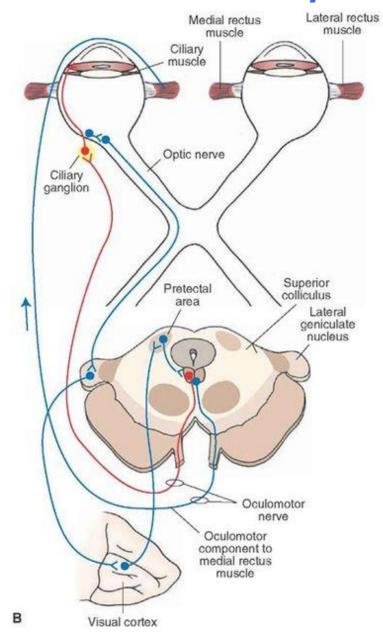


Image from http://what-when-how.com/neuroscience/the-cranial-nerves-organization-of-the-central-nervous-system-part-4/



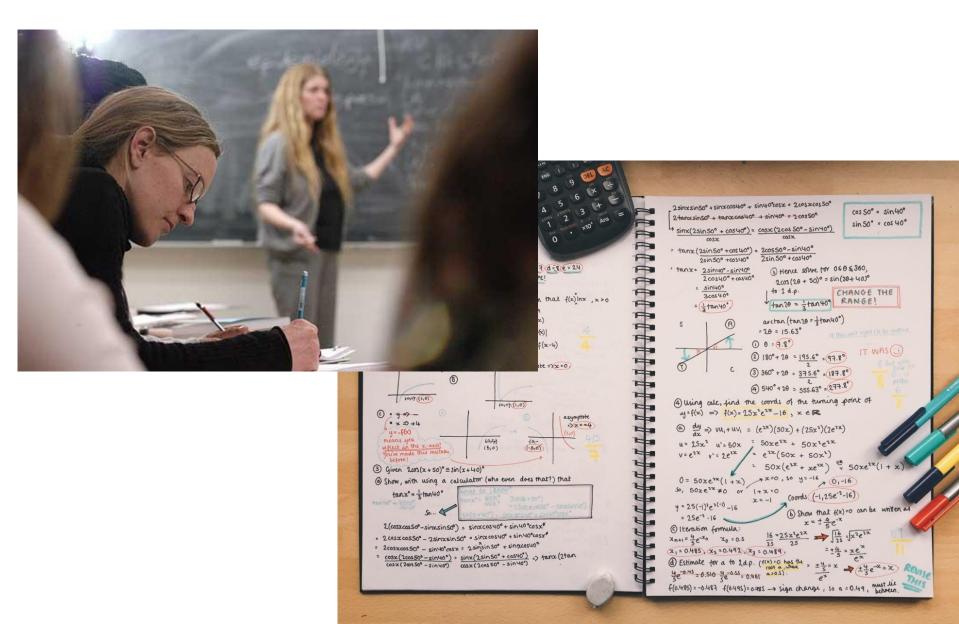
Accommodative Insufficiency

In the twentieth century, art was judged with respect to the existing state of the medium. What mattered was the kind of rupture it made, the unexpected formal elements it brought into play, the way it displaced the conventions of the genre or the tradition. The prize at the end of the evaluative process was a different sense of what art could be, a new realm of possibility for the aesthetic. Today all that has changed, definitively.

The backdrop against which art now stands out is a particular state of society. What an installation, a performance, a concept or a mediated image can do is to mark a possible or real shift with respect to the laws, the customs, the measures, the mores, the technical and organizational devices that define how we must behave and how we may relate to each other at a given time and in a given place. What we look for in art is a different way to live, a fresh chance at coexistence.

How does that chance come to be? Expression unleashes affect, and affect is what touches. Presence, gesture and speech transform the quality of contact between people, they create both breaks and junctions; and the expressive techniques of art are able to multiply those immediate changes along a thousand pathways of the mind and the senses. An artistic event does not need an objective judge. You

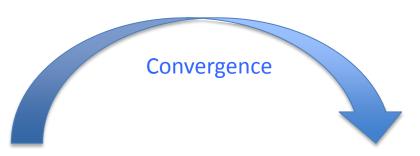
Accommodative Infacility

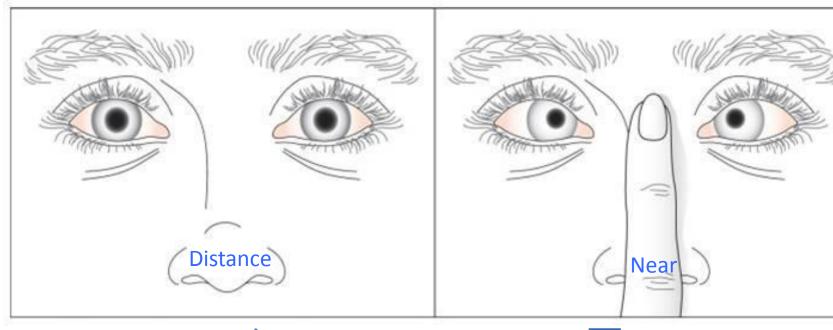


Accommodative Spasm

There once was a little oirl who could not read very well. She complained that the print was blurry and moving. She could not keep her place on the page. She went to her optometrist to get help. Her optometrist recommended vision therapy and told the girl that there was a solution to her vision problems. The girl began vision therapy and saw drastic improvements in her reading, writing, and most of all how she saw the world. The vision therapy made a huge difference in her life!

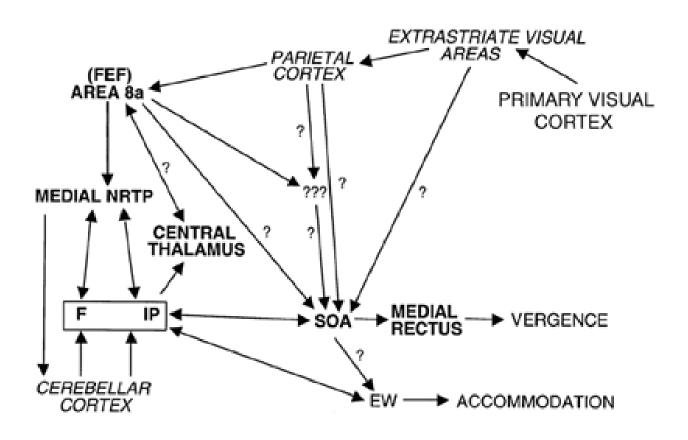
Convergence/Divergence







Vergence Pathway



Gamlin P. Neural Mechanisms for the Control of Vergence Eye Movements. Ann N Y Acad Sci. 2002 Apr;856:264-72

Convergence Insufficiency Vergence Dysfunction

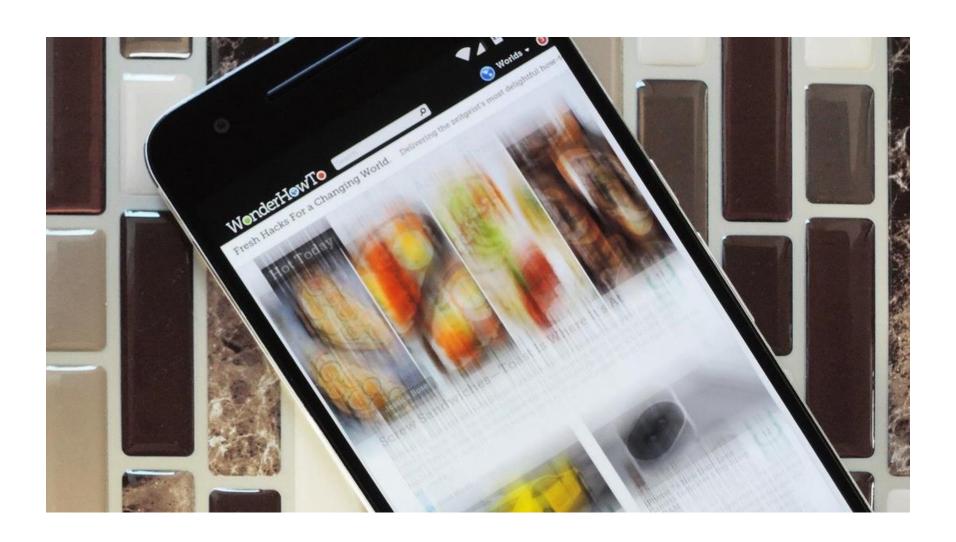
double vision can look like this:

devolute vision double vision double vision

well. She complained that the post was blurg and moving. She could not keep her place on the page. She went to her optometrist to get help. Her optometrist to get help. Her the girl that there was a solution to her usion problems. The girl began vision therapy and told problems. The girl began vision therapy and saw that improvements in her reading, vintual, and most of all how she saw the world. The wision therapy was a solution to her vision could be saw the world.

Double vision makes it difficult to read and comprehend.

Visual-Evoked Nausea



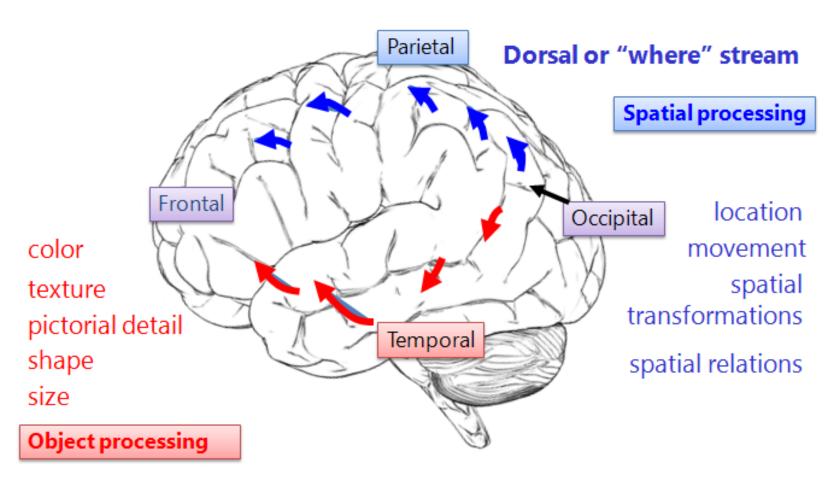
Visual Motion in Daily Life



Visual-Vestibular Motion in Sport



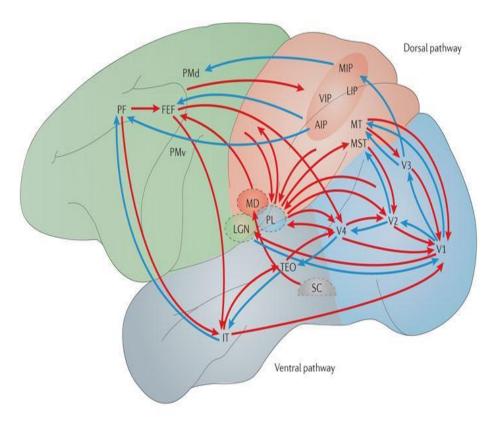
Higher Order Visual Processing



Ventral or "what" stream

Image from: http://www.nmr.mgh.harvard.edu/mkozhevnlab/?page_id=663

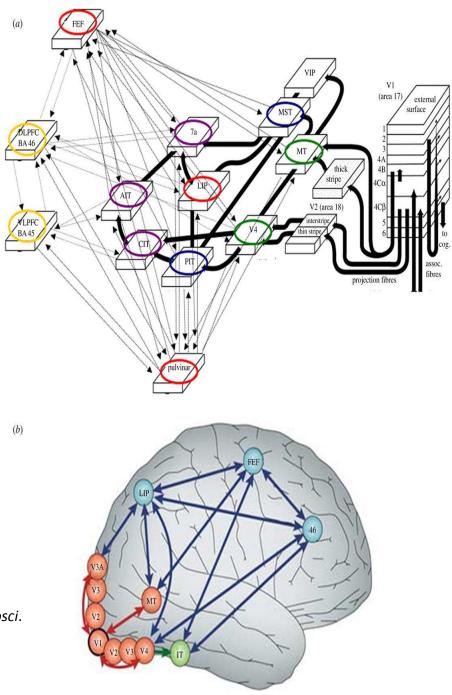
Visual Attention and Visual Processing



Nature Reviews | Neuroscience

Gilbert C, Li W. Top-down influences on visual processing. *Nature Rev Neurosci*. 2013;14:350-363

Raffone A, Srinivasan N, van Leeuwen C. The interplay of attention and consciousness in visual search, attentional blink and working memory consolidation. *Phil Trans R Soc B.* 2014:369.



Visual Crowding (Simultanagnosia)

Thereoncewasalittlegirlwhocouldnotreadverywell. Shecomplainedthattheprintwasblurryandmoving. Shecouldnotkeepherplaceonthepage. Shewentto heroptometristtogethelp.Heroptometristrecommen dedvisiontherapyandtoldthegirlthattherewasa solutiontohervisionproblems. The girlbeganvision therapyand sawdrasticimprovementsinherreading, writing, and most of all how she saw the world. The visiontherapymadeahugedifferenceinherlife!

Visual Crowding in Daily Life



Prescribed Accommodations/Advocations

- Visual Motion Sensitivity/Visual Crowding
 - Removal from gym/dance class, band/orchestra, school assemblies
 - Double spaced text, increased font size, line guides
- Oculomotor Dysfunction
 - Delay tests/quizes
 - Reduce amount of homework
 - Increase time on tests/assignments
 - Planned breaks
 - Note taker
- TEMPORARY MODIFICATIONS

Directions for the future?

TEAM Approach to Concussion - 2015

Multidisciplinary Assessment

- Review mechanism of Injury
- Relevant medical history
- Symptom Checklist
- NeurocognitiveScreening/NeuropsychologicalEvaluation
- Balance Assessment
- VestibularScreening/Examination
- Oculomotor FunctionScreening/Examination
- Neurological Evaluation
- Cervical Spine Evaluation
- Psychological Evaluation
- Neuroimaging prn

Collins M, Kontos A, Okonkwo D. et al. Statements of Agreement from the Targeted Evaluation and Active Management (TEAM) Approaches to Treating Concussion Meeting Held in Pittsburgh, October 15-16, 2015. *Neurosurgery*. Dec 2016;79(6):912-929.

TEAM Approach to Concussion - 2015

- Concussions are a treatable injury
 - More active/target approaches are better than prescribed rest alone
- Active Rehabilitation
 - Vestibular Therapy
 - Oculomotor/Vision Therapy
 - Behavioral Therapy

Collins M, Kontos A, Okonkwo D. et al. Statements of Agreement from the Targeted Evaluation and Active Management (TEAM) Approaches to Treating Concussion Meeting Held in Pittsburgh, October 15-16, 2015. *Neurosurgery*. Dec 2016;79(6):912-929.

Broglio SP, Collins M, Williams RM, Mucha A, Kontos A. Current and emerging rehabilitation for concussion: a review of the evidence. *Clin Sports Med*. 2015;34(2):213-231

Role for Vision Therapy?

- n=220 individuals with TBI (n=160) or CVA (n=60)
- Computer based query in clinical population 2000-2003, selected those who completed optometric VT program TBI (n=33), CVA (n=7)
- Results: 90% of TBI and 100% with CVI had treatment success
 - Marked/total improvement in at least 1 primary symptom and at least 1 primary sign
 - Improvements remained stable at retesting 2-3 months later

Table 2 Categories of oculomotor symptoms and signs

```
Symptom
  Blur
  Diplopia
  Impaired global sense of depth perception
  Increased sensitivity to visual motion (caused by
    oculomotor-based impairment of dynamic version and/or
    vergence)
  Eye strain
  Headache
  Avoidance of near vision tasks
  Oculomotor-based reading difficulty (e.g., loss of place
    when reading, skipping lines when reading, and
    misreading or missing words when reading)
  Difficulty with global scanning (e.g., problems navigating
    in busy streets, stores, malls, etc.)
Sign
  Reduced amplitude of accommodation
  Increased lag of accommodation
  Reduced relative accommodation
  Slowed accommodative facility
  Uncorrected hyperopia/astigmatism (caused by inability to
    compensate)
  Receded near point of convergence
  Restricted relative convergence (BO) at far and near
  Restricted overall fusional vergence ranges at far and near
  Abnormal Developmental Eye Movement (DEM) test results
  Low grade-level equivalent performance on the Visagraph II
```

Impaired versional ocular motility

Ciuffreda KJ, Rutner D, Kapoor N, Suchoff I, Craid S, Han ME. Vision therapy for oculomotor dysfunctions in acquired brain injury: A retrospective analysis. Optom 2008: 79: 18-22.

Clinical Pearls

- The visual system is commonly affected in concussion
- The majority of visual problems self-resolve after concussion in 3-4 weeks, but some patients may need active therapy for full recovery
- When in doubt refer

Thank you! Questions?

Slide Photo References

- Slide 4 http://img.webmd.com/dtmcms/live/webmd/consumer_assets/site_images/articles/health_tools/brain_injuries_slideshow/gett

 y rm photo of illustration of a concussion.jpg
- Slide 12 http://www.mcleishoptometrists.com/wp-content/uploads/2012/02/600anisocoria-e1330256999354.jpg
- http://ishiharatest.blogspot.com/2011/03/ishihara-color-blindness-test.html
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